

## Groups



Take three fixed protons arranged in a triangle.

The electron wave functions are very symmetric. There are degeneracies. Group theory can classify how symmetric the wave functions are, and <sup>the</sup> degeneracy of the eigenstates.

Defining Props • A group  $G$  is a set of elements closed under a multiplication

①  $\hat{g}_1$  and  $\hat{g}_2 \in G$  rule:

$$\hat{g}_1 \cdot \hat{g}_2 \in G$$

② The product is associative

$$(\hat{g}_1 \cdot \hat{g}_2) \hat{g}_3 = \hat{g}_1 (\hat{g}_2 \hat{g}_3)$$

But not necessarily commutative  $\hat{g}_1 \hat{g}_2 \neq \hat{g}_2 \hat{g}_1$   
in general (though it can happen)

③ + ④ There is an identity<sup>element</sup>  $\hat{e}$  and inverse

$$\hat{g} \cdot \hat{e} = \hat{g} \quad \text{for all } g \in G$$

$$\hat{g}^{-1} \hat{g} = \hat{e} \quad \hat{g}^{-1} \in G \quad \text{when } g \in G$$

## Examples:

$\mathbb{Z}_n$  the  $n$ -roots of unity

Take  $\mathbb{Z}_3$ :

$$1, e^{2\pi i/3}, e^{2\pi i 2/3}$$

$$\begin{array}{ccc} \uparrow & \uparrow & \uparrow \\ g_0 & g_1 & g_2 \end{array}$$

• Construct a multiplication table:

	$g_0$	$g_1$	$g_2$
$g_0$	$g_0$	$g_1$	$g_2$
$g_1$	$g_1$	$g_2$	$g_0$
$g_2$	$g_2$	$g_0$	$g_1$

This group is abelian  
meaning commutative

$$\hat{g}_i \cdot \hat{g}_j \equiv \hat{g}_j \cdot \hat{g}_i$$

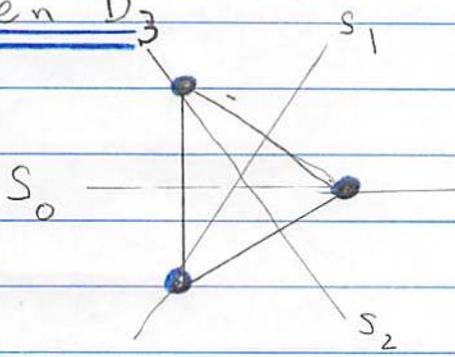
• In general given a set of group elements

$$(g_0, g_1, \dots, g_{n-1})$$

Multiplication by a group element just permutes the elements, e.g.

$$g_1 (g_0, g_1, g_2) \rightarrow (g_1, g_2, g_0)$$

Then  $D_3$



Notation wikipedia

• The set of operations which leave the triangle invariant  $\equiv$  Dihedral group  $D_3$

$\hat{\Gamma}_0 \equiv$  rotation by  $0 \equiv \pi$

$\hat{\Gamma}_1 =$  rotation by  $2\pi/3$

$\hat{\Gamma}_2 =$  rotation by  $2\pi \cdot 2/3$

$\hat{S}_0 =$  reflection over the line  $S_0$

$\hat{S}_1 =$  " " " "  $S_1$

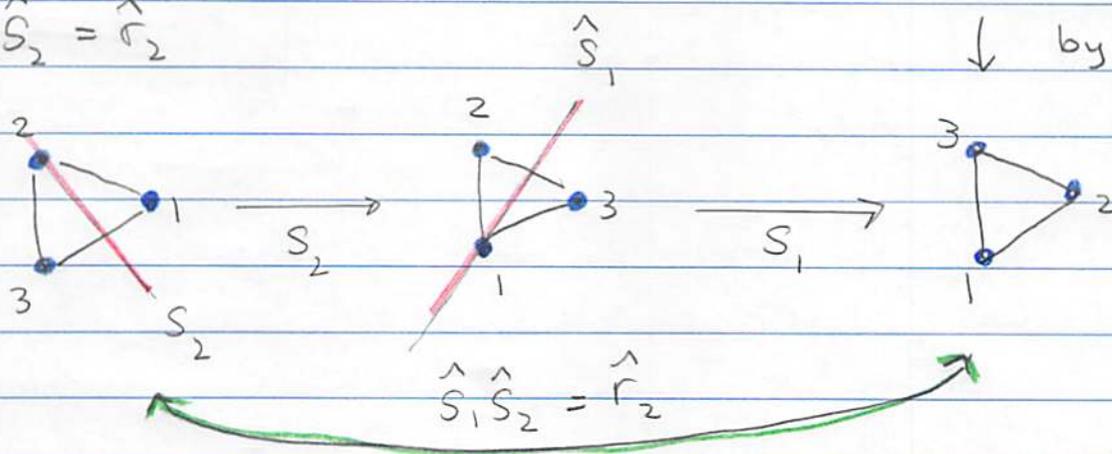
$\hat{S}_2 =$  " " " "  $S_2$

• Lets work at the group multiplication table in this case:

• Look at the operation  $S_1 S_2$ ,  $S_2$  first followed by  $S_1$ :

Then lets look at  $\hat{S}_1 \cdot \hat{S}_2$ :

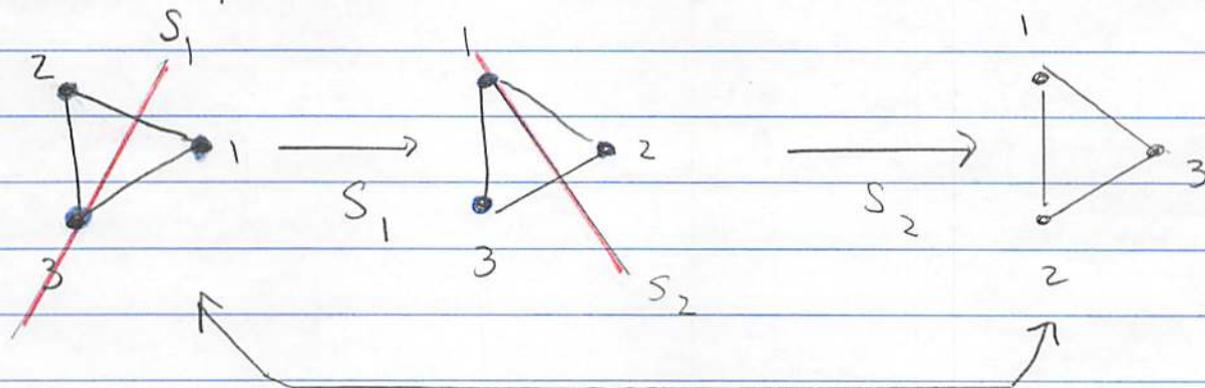
$$\hat{S}_1 \hat{S}_2 = \hat{r}_2$$



This rotated  
↓ by  $4\pi/3$  relative  
to the original

- We see that  $S_1 S_2$  is a rotation by  $4\pi/3$ .  
Then similarly:

$$\hat{S}_2 \hat{S}_1 = \hat{r}_1$$



$$S_2 S_1 = r_1 = \text{rotation by } 2\pi/3$$

- Thus we see that the group is non-abelian since  $g_1 g_2 \neq g_2 g_1$  (i.e.  $S_1 S_2 \neq S_2 S_1$ ).

ⓘ The full group multiplication table is below.

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	<b>r<sub>0</sub></b>	<b>r<sub>1</sub></b>	<b>r<sub>2</sub></b>	<b>s<sub>0</sub></b>	<b>s<sub>1</sub></b>	<b>s<sub>2</sub></b>
<b>r<sub>0</sub></b>	r <sub>0</sub>	r <sub>1</sub>	r <sub>2</sub>	s <sub>0</sub>	s <sub>1</sub>	s <sub>2</sub>
<b>r<sub>1</sub></b>	r <sub>1</sub>	r <sub>2</sub>	r <sub>0</sub>	s <sub>1</sub>	s <sub>2</sub>	s <sub>0</sub>
<b>r<sub>2</sub></b>	r <sub>2</sub>	r <sub>0</sub>	r <sub>1</sub>	s <sub>2</sub>	s <sub>0</sub>	s <sub>1</sub>
<b>s<sub>0</sub></b>	s <sub>0</sub>	s <sub>2</sub>	s <sub>1</sub>	r <sub>0</sub>	r <sub>2</sub>	r <sub>1</sub>
<b>s<sub>1</sub></b>	s <sub>1</sub>	s <sub>0</sub>	s <sub>2</sub>	r <sub>1</sub>	r <sub>0</sub>	r <sub>2</sub>
<b>s<sub>2</sub></b>	s <sub>2</sub>	s <sub>1</sub>	s <sub>0</sub>	r <sub>2</sub>	r <sub>1</sub>	r <sub>0</sub>

# Representations

A representation is a homomorphism (aka a many-to-one map) between the group elements and a set of linear operators or matrices  $D(g)$

$$g \longrightarrow D(g)$$

Such that

$$e \longrightarrow \mathbb{1} \quad \text{identity maps to unit matrix}$$

$$g_1 g_2 \longrightarrow D(g_1 g_2) = D(g_1) D(g_2)$$

## Example 1

- The identity representation. All elements of the group are mapped to the number 1

$$g_1 g_2 \longrightarrow 1 \cdot 1 = 1$$

This is clearly a many-to-one map and is not invertible

## Example 2

- Take the space of vectors in 2d  $\vec{v} = \begin{pmatrix} v^x \\ v^y \end{pmatrix}$
- We know how to implement reflections and rotations in this space of vectors.

We can use this to represent the group  $D_3$

$$D_3 = \{ r_0, r_1, r_2, s_0, s_1, s_2 \}$$

To write down this representation let us formalize our notion of representation more sharply

## Representations (formal version)

- For each group operator  $g$  associate a linear operator  $\hat{O}_g$  on a vector space  $V$  with an inner product  $\langle, \rangle$

$$g \rightarrow \hat{O}_g \quad g_1 g_2 \rightarrow \hat{O}_{g_1 g_2} = \hat{O}_{g_1} \hat{O}_{g_2}$$

- The matrices are found by specifying a basis of the vector space  $\vec{e}_b$ :

$$\hat{O}_g \cdot \vec{e}_a = \vec{e}_b D_{ba}(g)$$

note order

$$\langle \vec{e}_b, \hat{O} \vec{e}_a \rangle = D_{ba}$$

- Then a vector  $\vec{v} = v_a \vec{e}_a = \vec{e}_a v_a$  is transformed as

$$\hat{O}_g \vec{v} = \hat{O}(g) (v_a \vec{e}_a)$$

$$= (\hat{O}_g \vec{e}_a) v_a$$

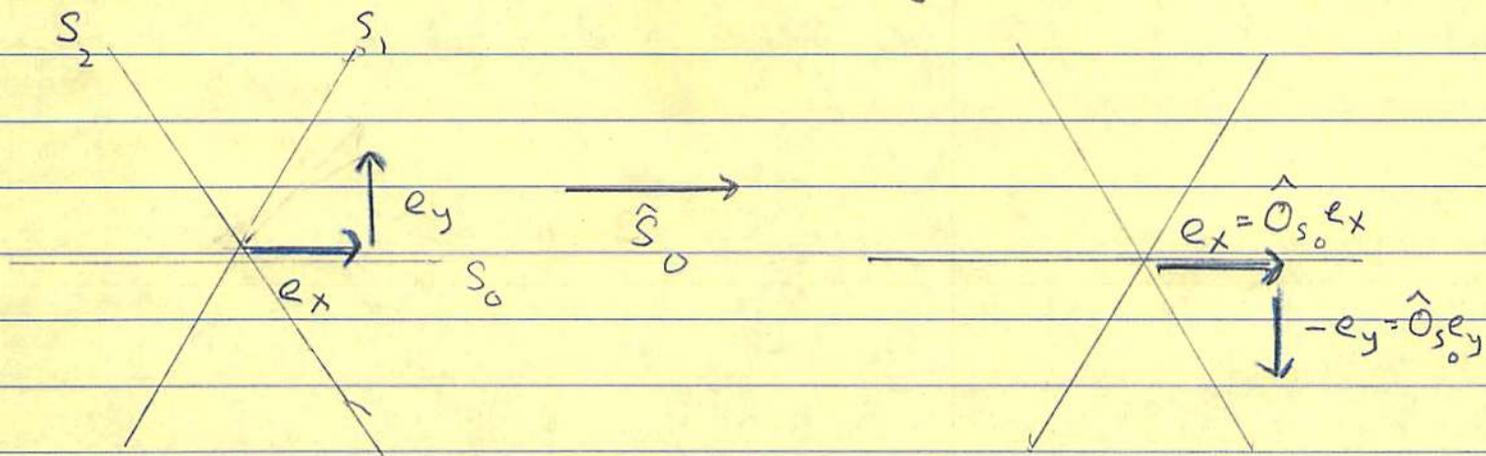
$$O_g v = \vec{e}_b D_{ba}^{(g)} v_a$$

- The  $\vec{e}_b$  are known as partners in the representation

i.e.

$$V \xrightarrow{g} V = D_{ba}(g) v_a$$

• Then take vectors under  $\hat{S}_0$



$$O_{s_0} \vec{e}_x = \vec{e}_x$$

$$O_{s_0} \vec{e}_y = -\vec{e}_y$$

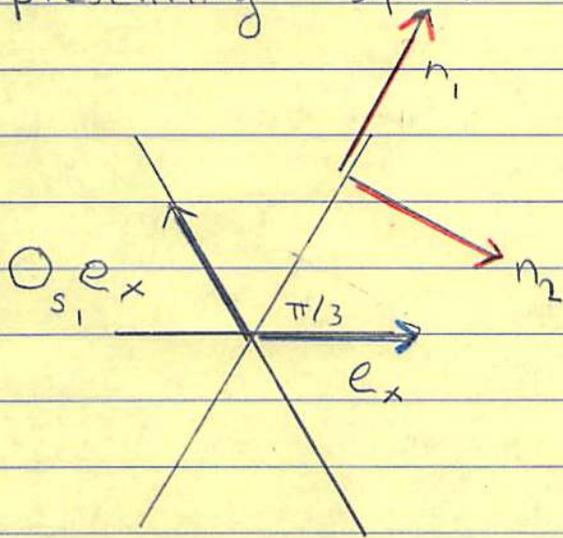
or

$$O_{s_0} \vec{e}_a = \vec{e}_b D^b_a(s_0)$$

with

$$D(s_0) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

• Similarly we can find a matrix representing  $s_1$ . Look at  $\vec{n}_1, \vec{n}_2$  and  $\vec{e}_x$  (unit vectors)



$$\vec{e}_x = \cos \pi/3 \vec{n}_1 + \sin \pi/3 \vec{n}_2$$

$$O_{s_1} \vec{e}_x = \cos \pi/3 \vec{n}_1 - \sin \pi/3 \vec{n}_2$$

$$\vec{n}_1 = \cos \pi/3 \vec{e}_x + \sin \pi/3 \hat{e}_y$$

$$\vec{n}_2 = \sin \pi/3 \vec{e}_x - \cos \pi/3 \hat{e}_y$$

So some algebra shows

$$O_{s_1} \vec{e}_x = \cos(2\pi/3) \vec{e}_x + \sin(2\pi/3) \vec{e}_y$$

$$= -1/2 \vec{e}_x + \sqrt{3}/2 \vec{e}_y$$

Then similarly one can show

$$O_{S_1} e_y = \overbrace{\sin(2\pi/3)}^{+\sqrt{3}/2} e_x \overbrace{-\cos(2\pi/3)}^{-(-1/2)} e_y$$

And thus

$$O_{S_1} \vec{e}_a = \vec{e}_b D_{ba}(g) \quad \text{with}$$

$$D(S_1) = \begin{pmatrix} \cos(2\pi/3) & \sin(2\pi/3) \\ \sin(2\pi/3) & -\cos(2\pi/3) \end{pmatrix} = \begin{pmatrix} -1/2 & \sqrt{3}/2 \\ \sqrt{3}/2 & 1/2 \end{pmatrix}$$

The remaining matrices are then

$$D(r_0) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$D(r_1) = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \quad \text{with } \theta = 2\pi/3$$

$$D(r_2) = \begin{pmatrix} -1/2 & -\sqrt{3}/2 \\ \sqrt{3}/2 & -1/2 \end{pmatrix}$$

$$D(r_3) = \begin{pmatrix} -1/2 & \sqrt{3}/2 \\ -\sqrt{3}/2 & -1/2 \end{pmatrix} \quad \text{rotations by } 4\pi/3$$

$$D(S_0) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad D(S_1) = \begin{pmatrix} -1/2 & \sqrt{3}/2 \\ \sqrt{3}/2 & 1/2 \end{pmatrix} \quad D(S_2) = \begin{pmatrix} -1/2 & -\sqrt{3}/2 \\ -\sqrt{3}/2 & 1/2 \end{pmatrix}$$

- This representation is faithful, meaning that each group element is associated with a unique matrix

Example 2.5 the alternating representation of  $D_3$

Notice (by examining the multiplication table)

$$\text{reflection} \times \text{rotation} = \text{reflection}$$

$$\text{reflection} \times \text{reflection} = \text{rotation}$$

$$\text{rotation} \times \text{rotation} = \text{rotation}$$

So let's associate reflections with the number  $-1$ , and the rotations with the number  $1$

Element	$r_0$	$r_1$	$r_2$	$s_0$	$s_1$	$s_2$	
Identity Rep	1	1	1	1	1	1	↑ these are not faithful ↓
Alternating Rep	1	1	1	-1	-1	-1	
Matrix Rep	see previous page						↑
	this is faithful						

↑  
See next page

## D<sub>3</sub> Matrix Representation Summary

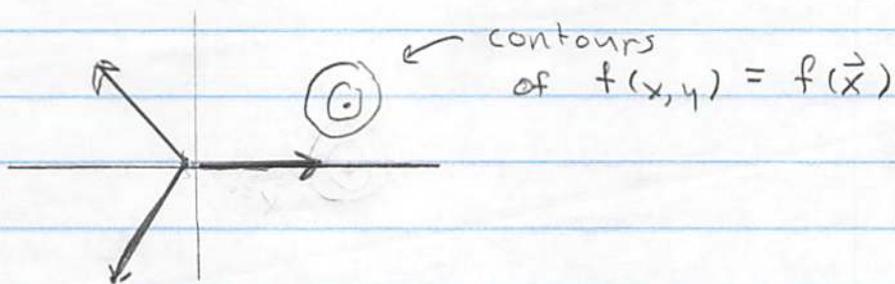
	$r_0$	$r_1$	$r_2$	$s_0$	$s_1$	$s_2$
Identity	1	1	1	1	1	1
Alternate	1	1	1	-1	-1	-1
Matrix	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ +\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & +\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & +\frac{\sqrt{3}}{2} \\ +\frac{\sqrt{3}}{2} & +\frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & +\frac{1}{2} \end{pmatrix}$
Regular	$\mathbb{1}_{6 \times 6}$	see multiplication table				

- Here  $\mathbb{1}_{6 \times 6}$  is the  $6 \times 6$  identity matrix. Some matrix representatives of the regular representation are

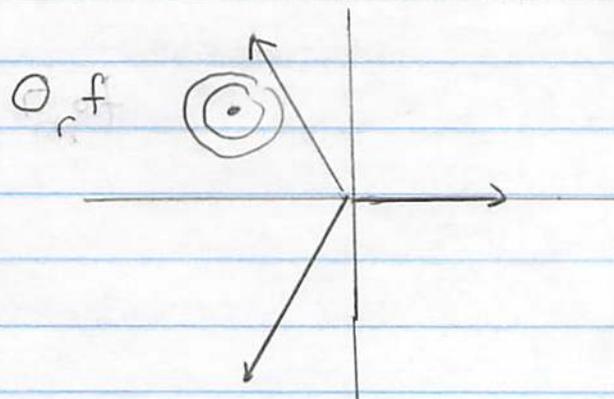
$$D(r_1) = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \quad D(s_0) = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}$$

## Representation of $D_3$ in the space of functions

Take a function  $f(\vec{x}) = e^{-(x-x_0)^2 - (y-y_0)^2}$ ,  $\vec{x} \equiv (x, y)$



The group elements should transform the function. For instance  $r \equiv$  rotation by  $2\pi/3 \equiv r_1$  should rotate  $f_0$



Clearly

$$(O_r f)(x') = f(x)$$

where  $\vec{x}' = r \vec{x}$  are the rotated coordinates. Thus since  $\vec{x} = r^{-1} \vec{x}'$

$$(O_r f)(x') = f(r^{-1} x')$$

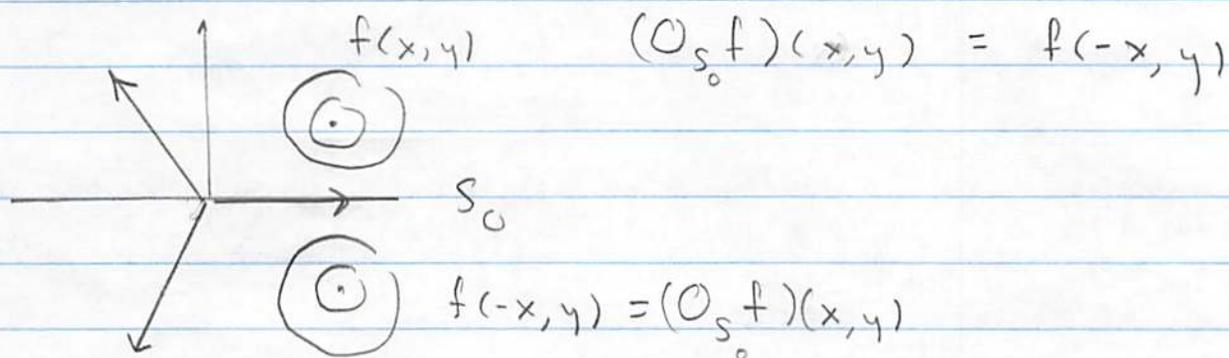
But,  $x'$  is just a dummy argument on both sides

$$\underline{\underline{(O_r f)(\vec{x}) = f(r^{-1} \vec{x})}}$$

In general

$$(\mathcal{O}_g f)(x) = f(g^{-1}x)$$

e.g. for  $S_0 \equiv$  reflection over x-axis

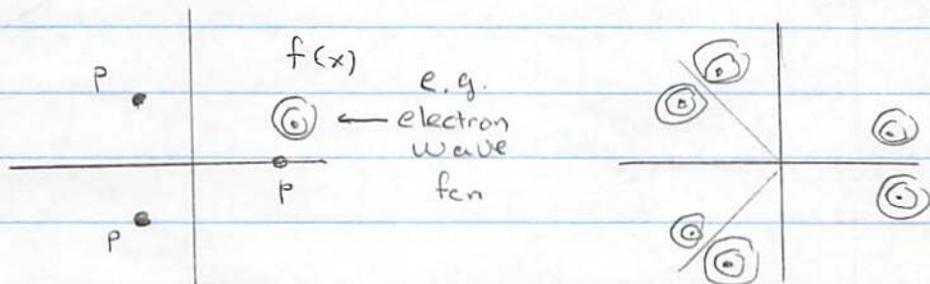


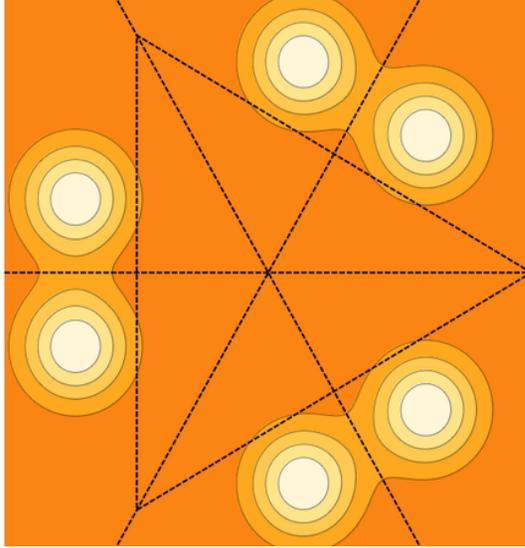
- The operators  $\mathcal{O}_{r_0}, \mathcal{O}_{r_1}, \mathcal{O}_{r_2}, \mathcal{O}_{s_0}, \mathcal{O}_{s_1}, \mathcal{O}_{s_2}$  give a faithful representation of the group  $D_3$  in the Hilbert space

- Note we can construct a highly symmetric function by averaging over the group operations

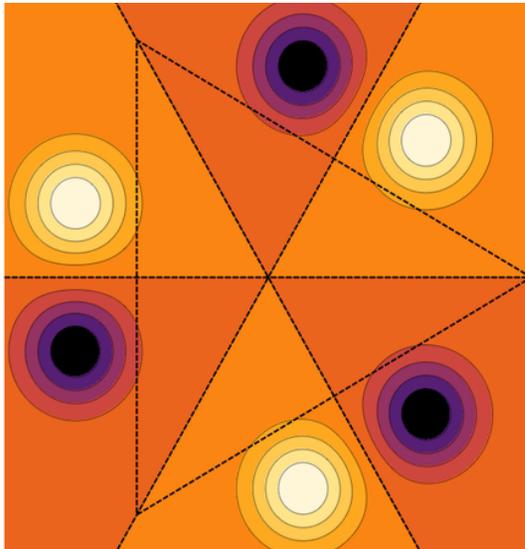
$$f_S(\vec{x}) \equiv \frac{1}{6} \sum_g \mathcal{O}_g f(\vec{x})$$

$f_S(\vec{x})$  is invariant under all the operations of the group





$$f_S(x) \equiv f_{11}^{(1)}(x)$$



$$f_A(x) \equiv f_{11}^{(2)}$$

- Then we prove that  $f_S(x)$  is invariant as follows:

$$O_{g'} f_S = \frac{1}{6} \sum_g O_{g'} O_g f(x)$$

$$= \frac{1}{6} \sum_g O_{g'g} f(x) = \frac{1}{6} \sum_{g''} O_{g''} f(x) = f_S(x)$$

$g'' = g'g$

Here multiplication by  $g'$  just rearranges the sum

$$g' \cdot \{g_0, g_1, g_2, \dots\}$$

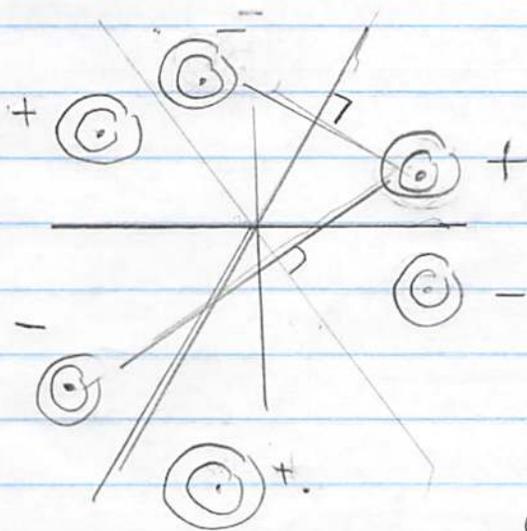
$$= \left\{ \begin{array}{l} \text{a rearrangement} \\ \text{of } g_0, g_1, g_2, \dots \end{array} \right\}$$

$$\sum_g O_{g'g} = \sum_{g''} O_{g''}$$

$$g'' = g'g$$

- We have associated  $+$  with rotations and  $-$  with reflections. Let us construct in the alternating rep

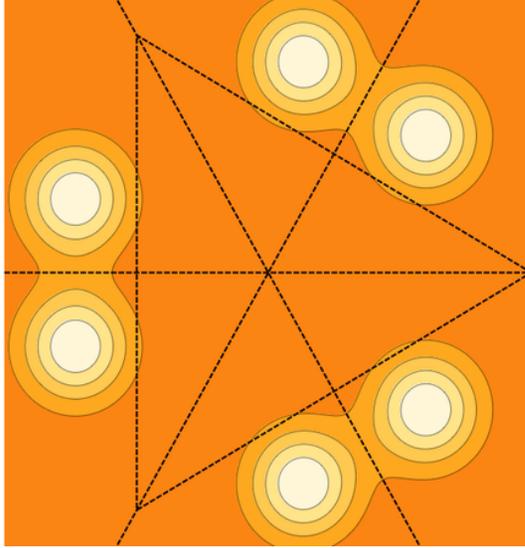
$$f_A(x) = \frac{1}{6} (O_{r_0} f + O_{r_1} f + O_{r_2} f - O_{s_0} f - O_{s_1} f - O_{s_2} f)$$



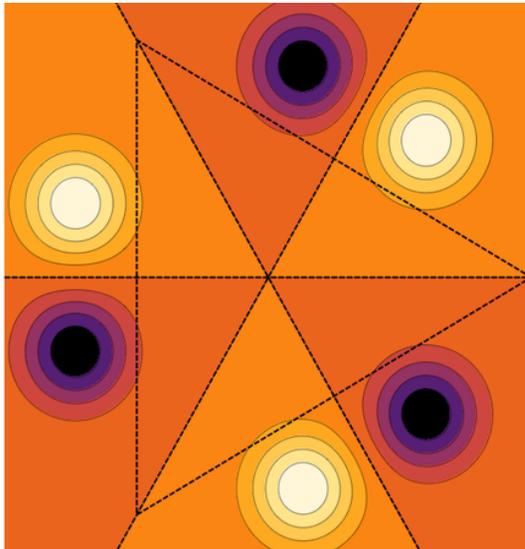
Clearly

$$\int d^2x f_A(\vec{x}) f_S(x) = 0$$

This function is also highly symmetric. We will generalize this procedure of generating symmetric functions later



$$f_S(x) \equiv f_{11}^{(1)}(x)$$



$$f_A(x) \equiv f_{11}^{(2)}$$

## The Regular Representation

- Consider the group elements themselves  $\hat{g}_1, \hat{g}_2, \hat{g}_3$  as the basis vectors of a vector space of operators

$$\hat{X} = \underset{\substack{\downarrow \\ \text{number}}}{x_1} \hat{g}_1 + x_2 \hat{g}_2 + x_3 \hat{g}_3$$

← ops

- Then multiplication by the group element  $\hat{g}$  defines a linear map (i.e. a matrix rep of  $\hat{g}$ ) in the vector space of ops

$$\hat{g}\hat{X} = x_1 \hat{g}\hat{g}_1 + x_2 \hat{g}\hat{g}_2 + x_3 \hat{g}\hat{g}_3$$

- Take  $Z_3$  and its multiplication table, Take  $g_1$  for example

	$g_0$	$g_1$	$g_2$	$\hat{g}_1 \hat{g}_0 = 0 + \hat{g}_1 + 0$
$g_0$	$g_0$	$g_1$	$g_2$	$\hat{g}_1 \hat{g}_1 = 0 + 0 + \hat{g}_2$
$g_1$	$g_1$	$g_2$	$g_0$	$g_1 \hat{g}_2 = \hat{g}_0 + 0 + 0$
$g_2$	$g_2$	$g_0$	$g_1$	

Then

$$D(g_1) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

just read off coefficients

If we write the "vector"  $\hat{x}$

$$\hat{x} = \sum_i x_i \hat{g}_i$$

Then

$$\hat{g}_i \hat{x} = \hat{g}_i \sum_j D_{ij}(g_1) x_j$$

i.e.  $x_i \xrightarrow{\hat{g}_1} D_{ij}(g_1) x_j$

Similarly we record

$$D(g_0) = \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} \quad D(g_2) = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

Excercise write down the regular representation for  $D_3$ . A number of elements are shown on the following page

## D<sub>3</sub> Matrix Representation Summary

	$r_0$	$r_1$	$r_2$	$s_0$	$s_1$	$s_2$
Identity	1	1	1	1	1	1
Alternate	1	1	1	-1	-1	-1
Matrix	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ +\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & +\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & +\frac{\sqrt{3}}{2} \\ +\frac{\sqrt{3}}{2} & +\frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & +\frac{1}{2} \end{pmatrix}$
Regular	$\mathbb{1}_{6 \times 6}$	see multiplication table				

- Here  $\mathbb{1}_{6 \times 6}$  is the  $6 \times 6$  identity matrix. Some matrix representatives of the regular representation are

$$D(r_1) = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

$$D(s_0) = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}$$