

## Oscillations and Normal Modes

- Let us consider the Lagrangian:

$$L = \frac{1}{2} m_{ij}(q) \dot{q}^i \dot{q}^j - U(q)$$

- Expand the potential near a minimum

$$q^i = q_0^i + x^i$$

← small, not necessarily cartesian.

So

$$U(q) = U(q_0) + \frac{\partial U}{\partial q^i} x^i + \frac{1}{2} \frac{\partial^2 U}{\partial q^i \partial q^j} x^i x^j + \dots$$

This is zero  
at a minimum.

$$\equiv k_{ij}$$

Then

$$L = \frac{1}{2} m_{ij} \dot{x}^i \dot{x}^j - \frac{1}{2} k_{ij} x^i x^j$$

↑ matrix of  
spring consts.

where the matrices  $m_{ij}$  and  $k_{ij}$  are evaluated  
at  $q_0$

- The equation of motion is

$$m_{ij} \ddot{x}^j = -k_{ij} x^j$$

- The way we can solve this is to try a solution (this describes an oscillation with one frequency, i.e. a normal mode)

$$x^j(t) = E^j e^{-i\omega t}$$

↑ constant vector (it will be real)

So this becomes

$$k_{ij} E^j = \omega^2 m_{ij} E^j$$

- So with a matrix notation

$$K = \begin{pmatrix} k_{ij} \end{pmatrix} \quad M = \begin{pmatrix} m_{ij} \end{pmatrix} \quad \vec{E} \equiv \begin{pmatrix} E^j \end{pmatrix}$$

we have

$$\boxed{K \vec{E} = \omega^2 M \vec{E}} \quad \begin{array}{l} \text{positive definite real} \\ \text{and symmetric matrix} \\ M \end{array}$$

↑ This is a (generalized) eigenvalue problem for the eigenvectors  $\vec{E}$  and the eigenvalues  $\omega^2$ .

- We will describe this e-value problem in the next section. Here we proceed with a simple method that always works:

$$\star (K - \omega^2 M) \vec{E} = 0$$

- This has non-trivial solutions ( $\vec{E} \neq 0$ ) only when

$$\det(K - \omega^2 M) = 0$$

This characteristic polynomial has roots

$$\lambda_a = \omega_a^2 \quad \text{with } a = 1 \dots N$$

Once you know  $\lambda_a$  you can substitute into  $\star$ , and determine the eigenvector for each  $a$ ,  $\vec{E}_a$  with  $a = 1 \dots N$

- The general solution is an expansion

$$x^j(t) = \sum_a C_+^a E_a^j e^{-i\omega_a t} + C_-^a E_a^j e^{+i\omega_a t}$$

↑  
general solution

Now you should adjust the coefficients  $C_+^a$  and  $C_-^a$  to reproduce the initial conditions for  $x$ :

$$x^j(0) \quad \text{and} \quad \dot{x}^j(0)$$

## The Generalized E-value Problem

- More common and basically the same as the ordinary E-value problem

$$A \cdot \vec{E} = \lambda W \vec{E}$$

↖  
matrix

↖ real positive definite weight matrix,  $M$  in our case.

- To find  $\lambda$  just do the usual thing:

$$\det(A - \lambda W) = 0 \leftarrow \text{solve}$$

- Let  $A$  be a hermitian matrix

① The eigenvalues are real

② The eigenvectors are orthogonal with  $W$  as a weight

$$(\vec{X}, \vec{Y}) = X^{*i} Y^j \leftarrow \text{Inner product}$$

$$(\vec{X}, W \vec{Y}) = X^{*i} W_{ij} X^j \leftarrow \text{Weighted inner product.}$$

So, we mean:

$$(E_a, WE_b) \equiv E_a^{*i} W_{ij} E_b^j = E_a^\dagger W E_b = \delta_{ab}$$

↑ Inner product of two  $E$ -vectors with a weight matrix  $W$ .

### Proof

• Reality

real,  $W$  is real and symmetric

$$\star (\vec{E}, A \vec{E}) = \lambda (\vec{E}, W \vec{E})$$

$$\text{But, } (E, AE) = (AE, E) = (E, AE)^* = \text{real}$$

↔ A self-adjoint (hermitian) matrix

So  $\star$  can be satisfied for  $\lambda$  real

• Orthogonality: Use  $AE = \lambda W$

$$\text{So } (E_2, AE_1) = \lambda_1 (E_2, WE_1)$$

$$\text{And } (E_1, AE_2)^* = \lambda_2 (E_1, WE_2)^*$$

Then using  $(E_1, AE_2)^* = (E_2, AE_1)$  and  $(E_1, WE_2)^* = (E_2, WE_1)$ , and subtracting

yields

$$0 = (\lambda_1 - \lambda_2) \langle E_2, WE_1 \rangle$$

So for  $\lambda_1 \neq \lambda_2$

$$\underline{0 = \langle E_2, WE_1 \rangle}$$

### Return to Mechanics

- Let us express our Lagrangian in terms of the Eigenvector coordinate system:

$$x^j(t) = \sum_a E_a^j X^a(t)$$

$$K_0 \vec{E}_a = \omega_a^2 M \vec{E}_a$$

or

$$\begin{pmatrix} x(t) \end{pmatrix} = \begin{pmatrix} E_1 \end{pmatrix} X^1(t) + \begin{pmatrix} E_2 \end{pmatrix} X^2(t) + \dots$$

e-values  
 mass matrix  
 is weight  
 W matrix

- We can determine  $X^a(t)$  using the orthogonality of the  $E_a$

$$X^a = (E_a, M x(t)) = E_a^i m_{ij} x^j(t)$$

We used

$$(E_a, M E_b) = \delta_{ab}$$

- The potential energy is

$$\begin{aligned}
 U &= \frac{1}{2} k_{ij} x^i x^j = \frac{1}{2} \sum_{ab} X^a X^b E_a^i k_{ij} E_b^j \\
 &= \frac{1}{2} \sum_{ab} X^a X^b E_a^i \underbrace{\omega_b^2 m_{ij}}_{\omega_b^2 \delta_{ab}} E_b^j \\
 &= \frac{1}{2} \sum_a \omega_a^2 X^a X^a
 \end{aligned}$$

e-value equation

- The Kinetic energy is similar:

$$\begin{aligned}
 \frac{1}{2} m_{ij} \dot{x}^i \dot{x}^j &= \frac{1}{2} \sum_{ab} \dot{X}^a \dot{X}^b E_a^i m_{ij} E_b^j \\
 &= \frac{1}{2} \sum_a (\dot{X}^a)^2
 \end{aligned}$$

- So the Lagrangian is

$$L = \sum_a \left( \frac{1}{2} \dot{X}_a^2 - \omega_a^2 X_a^2 \right)$$

this is independent oscillators with natural frequencies  $\omega_a$