

## Parametric Resonance

- Consider an oscillator with a changing resonant frequency

$$\frac{d^2 q}{dt^2} + \omega_0^2 (1 + h \cos \Omega t) q = 0$$

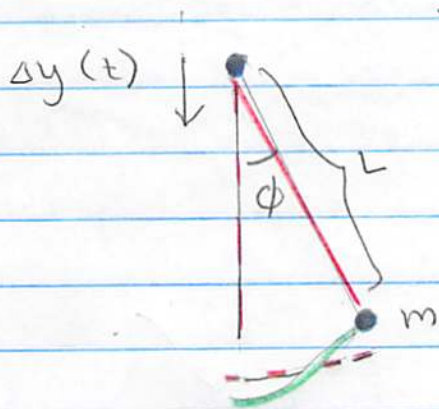
$\Omega = 2\omega_0 + \epsilon$

small

- This model arises in an enormous number of applications

- Stability of the Paul trap for charged ions
- reheating after inflation
- Stability of helicopter blades
- Hawking radiation

- As a model consider a pendulum with an oscillating support  $\Delta y = -y_0 \cos \Omega t$  with  $\Omega \approx 2\omega_0$ , so that the support "drops" the mass at the top of the arc  $\phi_{\max}$ , and pulls the mass back up at  $\phi \approx 0$



$$\ddot{\phi} + \omega_0^2 \left(1 + \frac{y_0 \Omega^2}{L} \cos \Omega t\right) \phi = 0$$

- First consider the unperturbed oscillator and calculate the tension as a function of time in the rod

- The tension is smaller than  $mg$  at  $\phi_{\max}$

$$T_{\min} = mg \cos \phi_{\max} = mg \left(1 - \frac{\phi_{\max}^2}{2}\right)$$

$$= mg - \frac{E}{L} \leftarrow \text{energy in oscillator} \propto \phi_{\max}^2$$

- But larger than  $mg$  at the bottom:

$$T_{\max} = mg + \frac{mv^2}{L} = mg + \frac{2E}{L}$$

$\nearrow$  "centrifugal force"                       $\nwarrow E = \frac{1}{2}mv^2$

- So if the mass is dropped by  $\Delta y$  at  $\phi_{\max}$ , and pulled up by  $\Delta y$  at  $\phi \approx 0$  the external work done in half a period  $T_0/2$  is

$$W = (T_{\max} - T_{\min}) \Delta y = 3 \frac{E}{L} \Delta y$$

Since this is positive, the energy will constantly grow:

$$\dot{E} = \frac{\Delta E}{T_0/2} = 6 \frac{\Delta y}{L} \frac{E}{T_0} \quad \text{leading to exponential growth in the oscillator energy by the external work.}$$



- Now consider what happens if the modulation frequency differs from the resonance condition

$$\Omega = 2\omega_0 + \varepsilon$$

modulation frequency

detuning parameter  
if detuned the external work will not always be positive

- If the modulation amplitude is large enough it can overcome the detuning

$$\omega_0^2 (1 + h \cos \Omega t)$$

modulation amplitude

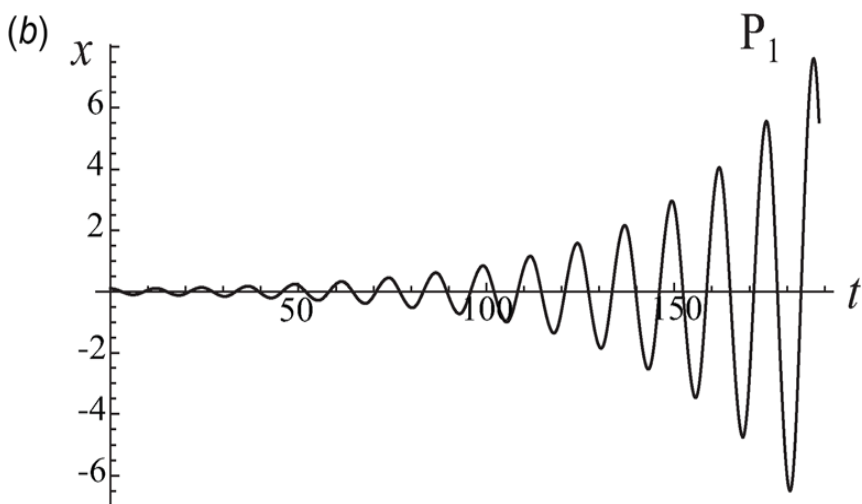
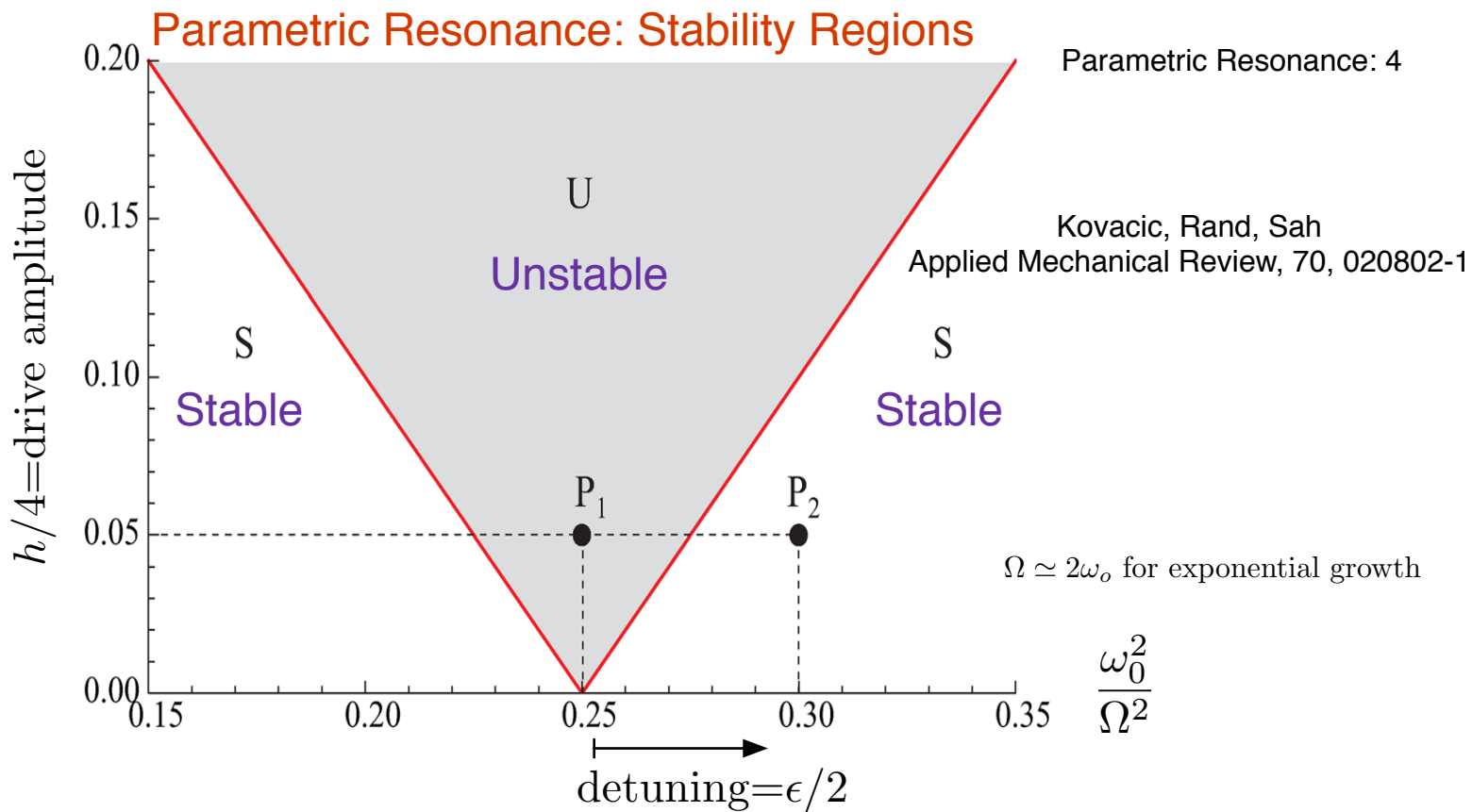
Causing exponential growth. We will see: (1) that the condition is

$$|\varepsilon| < \frac{1}{2} h \omega_0$$

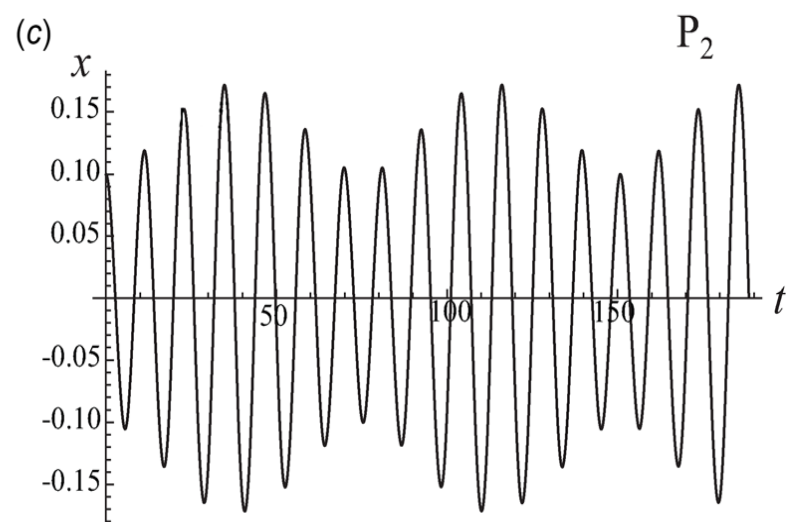
The stability regions are shown on the next slide (click me)

- (2) The growth rate in amplitude is

$$\lambda = \sqrt{\left(\frac{h\omega_0}{2}\right)^2 - \varepsilon^2}$$



Unstable oscillations at P1



Stable oscillations at P2

## Mathematical Analysis of Parametric Resonance

• We study:

$$\star \ddot{q} + \omega_0^2 (1 + h \cos \Omega t) q = 0$$

with  $\Omega = 2\omega_0 + \varepsilon \equiv 2\omega$ . As always we work with a rotating wave / slow roll / secular perturbation theory / WKB approximation (all these are basically the same!)

$$q(t) = q^{(0)}(t) + q^{(1)}(t)$$

with

$$q^{(0)} = \text{Re} [ A(t) e^{-i\omega t} ]$$

$$= a(t) \cos \omega t + b(t) \sin \omega t$$

$\uparrow$  slow functions of time  $\uparrow$

• Then note:

$$(1) \ddot{q}^{(0)} = -\omega^2 q^{(0)} + [-2\omega \sin \omega t \dot{a} + 2\omega \cos \omega t \dot{b}] + (\text{small})^2$$

$$(2) (\cos 2\omega t)(\cos \omega t) = \frac{1}{2} (e^{i2\omega t} + e^{-i2\omega t}) \frac{1}{2} (e^{i\omega t} + e^{-i\omega t})$$

$$= \frac{1}{2} \cos 3\omega t + \frac{1}{2} \cos \omega t$$



$$(3) \quad \cos 2\omega t \sin \omega t = \frac{1}{2} \sin 3\omega t - \frac{1}{2} \sin \omega t$$

$$(4) \quad (\omega_0^2 - \omega^2) q^{(0)} \approx -\omega \varepsilon q^{(0)}$$

• Substituting into  $\star$

$$\ddot{q}^{(1)} + \omega_0^2 q^{(1)} + \underbrace{(2\dot{b} - a\varepsilon + \frac{1}{2}h\omega_0 a)}_{\text{at first order}} \omega \cos \omega t$$

$$- \underbrace{(-2\dot{a} - b\varepsilon - \frac{1}{2}h\omega_0 b)}_{\text{at first order}} \omega \sin \omega t$$

$$+ 3\omega t \text{ terms} = 0$$

• As is usual the  $q^{(1)}$  will solve the  $3\omega$  terms. The underlined (secular) terms must be set to zero to avoid secular divergences

$$-\frac{d}{dt} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 & \varepsilon + h\omega_0/2 \\ -\varepsilon + h\omega_0/2 & 0 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}$$

• This system of equations is solved by finding the eigenvectors and eigenvalues

$$\lambda_{\pm} = \pm \sqrt{-\varepsilon^2 + \left(\frac{h\omega_0}{2}\right)^2} \text{ and corresponding vectors}$$

$E_+$  and  $E_-$

The solution is

$$\begin{pmatrix} a \\ b \end{pmatrix} = c_+ e^{\lambda_+ t} \begin{pmatrix} E_+ \\ 0 \end{pmatrix} + c_- e^{\lambda_- t} \begin{pmatrix} E_- \\ 0 \end{pmatrix}$$

① If the drive amplitude is large enough:

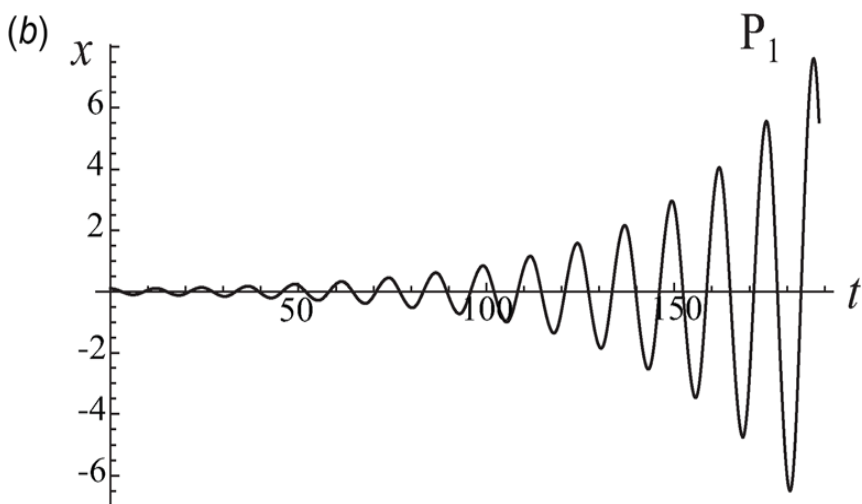
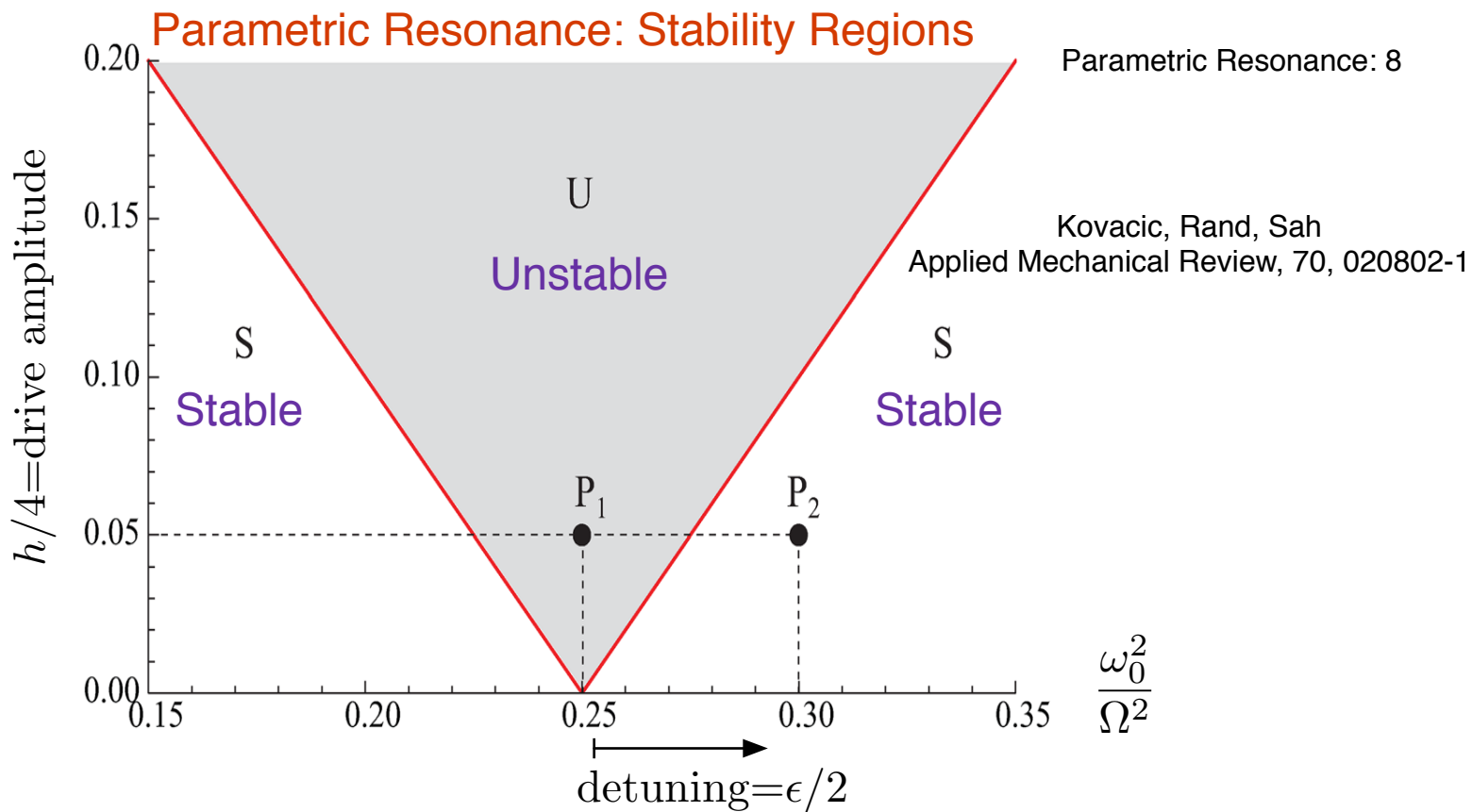
$$|\varepsilon| < \frac{h\omega}{2}$$

- It overwhelms the detuning, and the eigen values are real with  $\lambda_+ > 0$ . The oscillation explodes exponentially. This is the point  $P_1$  on the next slide [\(click me\)](#)

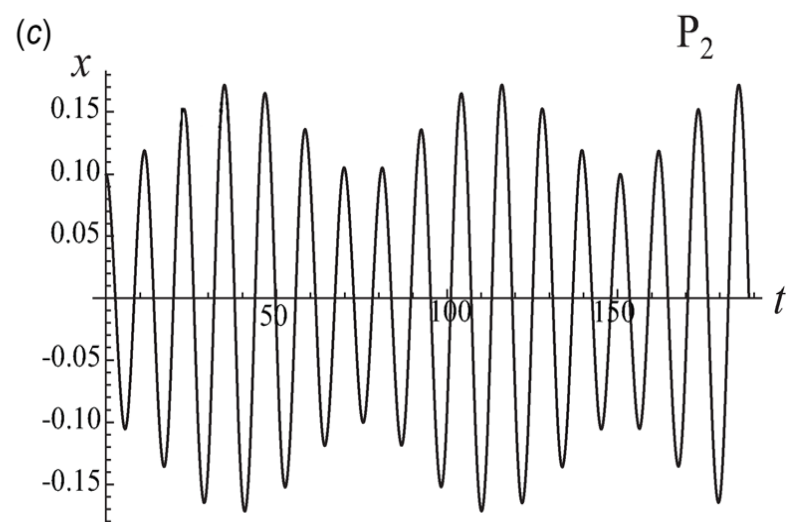
② If the drive frequency  $\Omega$  is not too close to  $2\omega_0$ . Then the e-values are imaginary:

$$\lambda_{\pm} = \pm i \sqrt{\varepsilon^2 - \left(\frac{h\omega}{2}\right)^2} \quad \text{for} \quad \frac{h\omega_0}{2} < |\varepsilon|$$

- The oscillations are stable. The slowly oscillating  $a(t)$  and  $b(t)$  will envelope the more rapid  $\cos \Omega t$  oscillations. This is the point  $P_2$  on the next slide. [Click me](#)



Unstable oscillations at  $P_1$



Stable oscillations at  $P_2$