

Kramers-Kronig Overview

In this section we will describe the Kramers-Kronig relation. Points to take away

① For any causal response function, e.g. $\sigma(\omega)$ and $\chi(\omega)$, $\epsilon(\omega)$, etc. The real and imaginary parts are related by a specific integral relation

The real part of $\epsilon(\omega)$ determines the phase and group velocities of the wave

The imag part of $\epsilon(\omega)$ determines the damping of the wave.

② The Kramers-Kronig relations show that the (correct) qualitative features of the Lorentz model for $\chi_e(\omega)$ are very generic, dictated by causality.

Causality, Analyticity, & Kramers-Kronig Relations

Recall that $\sigma(t)$ is a causal function:

$$j(t) = \int_{-\infty}^{\infty} dt' \sigma(t-t') E(t') \leftarrow \text{Depends on past values of } E$$

i.e. $\sigma(t) = 0$ for $t < 0$ (or $\sigma(t-t')$ vanishes when $t' > t$)

Similarly $\chi(t-t')$ is also causal:

$$j(t) = \int_{-\infty}^{\infty} \chi(t-t') \partial_t E(t') dt'$$

i.e. $\chi(t) = 0$ for $t < 0$

The Fourier transform of a causal function is

$$(1) \quad \boxed{\chi(\omega) = \int_0^{\infty} dt e^{i\omega t} \chi(t)}$$

The Fourier integral guarantees that $\sigma(\omega)$ is an analytic function of ω for ω in the Upper Half Plane (UHP), $\text{Im } \omega \geq 0$. To see this...

... note that the exponential becomes increasingly convergent for w in UHP and $t > 0$ (causality)

$$e^{i\omega t} = e^{i(\text{Re } \omega + i \text{Im } \omega)t} = e^{i(\text{Re } \omega)t} e^{-\overbrace{(\text{Im } \omega)t}^{\text{greater than zero}}}$$

Thus the fourier integral provides an analytic continuation of x for w complex.

For such causal functions, which are always analytic in UHP, have a relation between the real and imaginary parts
Principal value (see below)

$$\text{Re } x(\omega) = - \int_{-\infty}^{\infty} \frac{d\omega'}{\pi} \frac{P}{\omega - \omega'} \text{Im } x(\omega)$$

$$\text{Im } x(\omega) = \int_{-\infty}^{\infty} \frac{d\omega'}{\pi} \frac{P}{\omega - \omega'} \text{Re } x(\omega)$$

From Eq. (1) on previous page

$$\text{Re } x(-\omega) = - \text{Re } x(\omega)$$

$$\text{Im } x(-\omega) = - \text{Im } x(\omega)$$

↑
Kramers
Kronig
relations
(Proof Below)

So these can be written:

$$\operatorname{Re} x(\omega) = -\frac{2}{\pi} \int_0^{\infty} \frac{P}{\omega^2 - (\omega')^2} \omega' \operatorname{Im} x(\omega')$$

$$\operatorname{Im} x(\omega) = +\frac{2\omega}{\pi} \int_0^{\infty} \frac{P}{(\omega')^2 - \omega^2} \operatorname{Re} x(\omega')$$

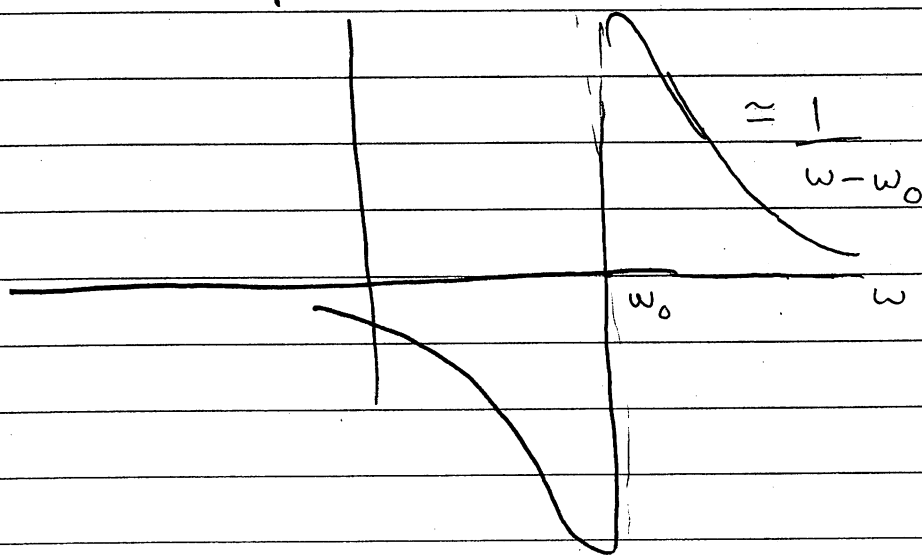
Here, $\frac{P}{\omega - \omega_0}$, denotes the "principal value function"

Much like a δ -fcn, it should be thought of as the limit of a sequence of functions.

$$\frac{P}{\omega - \omega_0} = \lim_{\varepsilon \rightarrow 0} \frac{(\omega - \omega_0)}{(\omega - \omega_0)^2 + \varepsilon^2} = \frac{1}{\omega - \omega_0} \text{ everywhere}$$

except right near ω_0

Graph of $P/(\omega - \omega_0)$

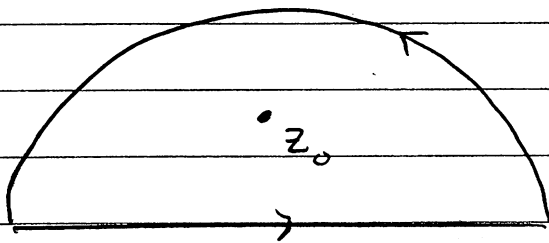


Here we have shown one of many ways to represent the principal value.

Proof of Kramers - Kronig

Since $\chi(z)$ is analytic in the UHP we can use Cauchy theorem

$$\chi(z_0) = \int_C \frac{dz}{2\pi i} \frac{\chi(z)}{z - z_0}$$



Here the only pole is at z_0 , since $\chi(z)$ is analytic in UHP.

Now let $z_0 = w_0 + i\varepsilon$. Then, assuming that the arc at infinity gives no contribution,

$$\text{Re } \chi(w_0) + i \text{Im } \chi(w_0)$$

$$= \int_{-\infty}^{\infty} \frac{dw}{2\pi i} \frac{\text{Re } \chi(w) + i \text{Im } \chi(w)}{w - (w_0 + i\varepsilon)}$$

Now

$$\frac{1}{w - w_0 - i\varepsilon} = \frac{w - w_0}{(w - w_0)^2 + \varepsilon^2} + i \frac{\varepsilon}{(w - w_0)^2 + \varepsilon^2}$$

So

$$\lim_{\varepsilon \rightarrow 0} \frac{1}{w - w_0 - i\varepsilon} = \frac{P}{w - w_0} + i\pi \delta(w - w_0)$$

Yielding

$$\operatorname{Re} x(\omega_0) + i \operatorname{Im} x(\omega_0)$$

$$= \frac{1}{2} \operatorname{Re} x(\omega_0) + \frac{i}{2} \operatorname{Im} x(\omega_0)$$

$$+ P \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \frac{-i \operatorname{Re} x(\omega) + \operatorname{Im} x(\omega)}{\omega - \omega_0}$$

So comparing

$$\operatorname{Im} x(\omega_0) = - \int_{-\infty}^{\infty} \frac{d\omega}{\pi} \frac{P}{\omega - \omega_0} \operatorname{Re} x(\omega)$$

$$\operatorname{Re} x(\omega_0) = \int_{-\infty}^{\infty} \frac{d\omega}{\pi} \frac{P}{\omega - \omega_0} \operatorname{Im} x(\omega)$$

This is the same as quoted with the
subs $\omega_0 \rightarrow \omega$ and $\omega \rightarrow \omega'$.

Kramers Kronig Relations + Qualitative Features

We can now see why the Lorenz model gives a reasonable qualitative description of real dielectrics

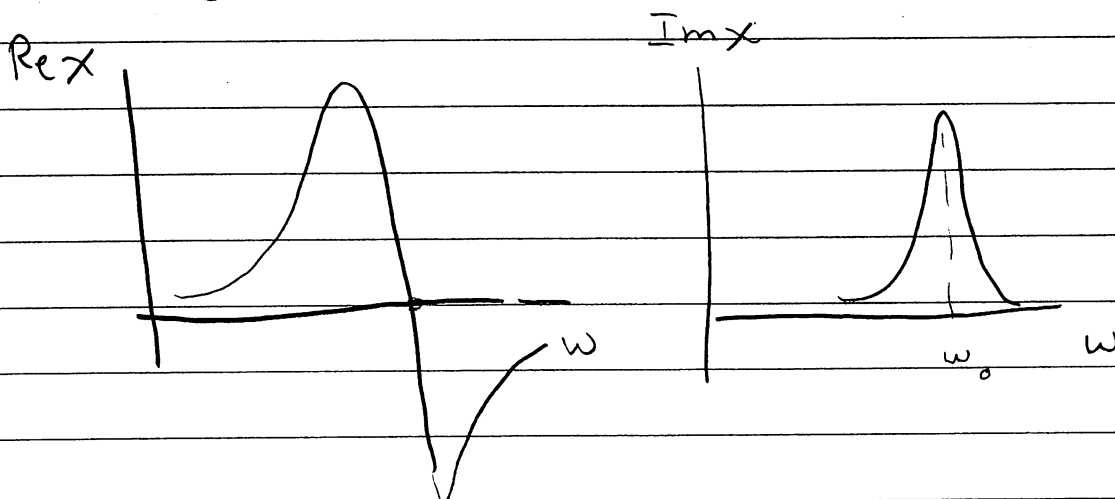
Suppose the material has a strong absorption band at $\omega \approx \omega_*$

$$\text{Im } \chi(\omega) \approx C \pi \delta(\omega - \omega_*) + \text{regular}$$

↑
absorption at ω_*

$$\begin{aligned} \text{Then } \text{Re } \chi(\omega) &= - \int \frac{d\omega'}{\pi} \frac{P}{\omega - \omega'} \text{Im } \chi(\omega') \\ &\approx \frac{-P}{\omega - \omega_*} + \text{regular} \end{aligned}$$

So, qualitatively we will always see the following structure:



This explains the success of the Lorenz Model,